

Assessment Cycle 2019-2020

Capital Outlay and Special Projects

Prepared by: Gil Gilson

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Approved by: Jennifer Kelly

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Northwestern State University Mission. Northwestern State University is a responsive, Student-oriented institution that is committed to the creation, dissemination, and acquisition of knowledge through teaching, research, and service. The University maintains as its highest priority excellence in teaching in graduate and undergraduate programs. Northwestern State University prepares its Students to become productive members of society and promotes economic development and improvements in the quality of life of the citizens in its region.

University Affairs Mission. University Affairs is a diverse group of innovative and talented professionals who provide quality facilities, maintenance and management services in support of education and research at Northwestern State University. University Affairs is committed to being fully responsive to the needs of faculty, students, staff and the public, by the Physical Plant, Capital Outlay Office, Grounds and Custodial Services, Environmental Health and Safety, University Police, ADA Compliance and International Student Services.

Office of Capital Outlay and Special Projects Mission: The State of Louisiana funds major renovations and new construction on campus through the capital outlay process. As a requirement of the State Capital Outlay process, NSU annually submits a prioritized five-year plan for capital improvements to the State Legislature through the ULS Board of Supervisors and Board of Regents. This plan is the result of intensive university-wide planning efforts that include the Office of the President, the Office of Facility Services, the Facility Design and Development Committee, the Office of Academic Affairs and the Office of Finance and Administrative Services, with the President serving as the final decision maker. The Capital Outlay Director is responsible for communicating with appropriate state agencies, project managers, architects, engineers, and contractors during the planning and implementation of capital outlay and University- funded small capitalization projects and for assuring that all projects meet the stipulations set forth by state agencies and the bid process.

Methodology: The assessment process includes:

- (1) Data from assessment tools are collected by the Capital Outlay Director.
- (2) The Capital Outlay Director will analyze the data to determine whether the applicable outcomes are met.
- (3) Results from the assessment will be discussed with appropriate University staff.
- (4) Individual meetings will be held with staff as required.

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(5) The Capital Outlay Director, in consultation with university staff and senior leadership, will propose changes to measurable outcomes, assessment tools for the next assessment period and, where needed, service changes.

Capital Outlay

Service Outcomes:

SO 1. Ensure institution identifies capital outlay needs and develops a five-year capital outlay plan responsive to the university's five Strategic Focus Areas (The Student Experience, Academic Excellence, Market Responsiveness, Athletic Prominence, and Community Enrichment).

Measure 1.1. The Capital Outlay Director develops and submits a comprehensive Five-Year Capital Outlay Plan. The target is to complete and submit a Five-Year plan by the University of Louisiana System (ULS) deadline. Target is 100% compliance by state directed deadline.

Finding. 100% of Target Met. The Capital Outlay Director submitted the Five-Year Capital Outlay Plan to the ULS system office for approval and submission to the Board of Regents. The Board of Regents included items they determined fundable in House Bill 2. The Five-Year Capital Outlay Plan was submitted by the deadline of November 1, 2019, for fiscal year 2020-21.

Analysis. In 2018-2019, the target was met. Based on the analysis of the 2018-2019 results, the following changes were implemented in 2019-2020 according to the plan of action from 2018-2019. The Five-Year Plan was again submitted by the deadline for approved projects to be included in 2020 House Bill 2. In 2019-20, the Plan included one major change: an expanded request for the Kyser Hall Replacement project to better meet the needs the of the University.

Decision. Based on the analysis of the results from 2019-20, in 2020-21 the Capital Outlay Director, in consultation with the University President and University administration, will submit a new capital outlay plan for 2021-22 by November 1, 2020. This Plan will incorporate any changes required by the administration and reflect any alterations necessitated by the State Legislature and House Bill 2.

Measure 1.2. The Five-Year Capital Outlay Plan addresses the university's Strategic Focus Areas. The target is to address all five Strategic Focus Areas in the Five-Year Capital Outlay Plan (including The Student Experience, Academic Excellence, Market Responsiveness, Athletic Prominence, and Community Enrichment).

Finding. 100% of Target Met. As noted in Table 1, the Five-Year Capital Outlay Plan includes 16 projects: 16/16 address The Student Experience; 14/16 address Academic Excellence; 14/16 address Market Responsiveness; 5/16 address Athletic Prominence; 11/16 address Community Enrichment.

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Analysis. In 2018-2019, the target was met. Based on the analysis of the 2018-2019 results, the following changes were implemented in 2019-2020 according to the plan of action from 2018-2019. The Capital Outlay Office determined the Five-Year Plan continues to address all five Strategic Focus Areas as identified in the University's Strategic Plan.

Decision. Based on the analysis of the results from 2019-20, in 2020-21 the Capital Outlay Director will work with University President and University administration to ensure that any changes to the Five-Year Plan, should they be necessary, continue to address at least one, and ideally several, of the University's five Strategic Focus Areas.

SO 2. Ensure institution completes capital outlay and special (small capitalization) projects within prescribed time and budgetary limits.

Measure 2.1. The Capital Outlay Director, in coordination with the State Facility Planning and Control Project Manager, ensures that all capital outlay and small capitalization projects are completed within the prescribed time limits stipulated in the bid process. The target is 100% completion within the prescribed time limits.

Finding. 100% of Target met. As demonstrated in Table 2, 4/4 capital outlay / small capitalization projects completed in 2019-20 were completed within the deadlines prescribed in the bid process. Three ongoing projects are scheduled to be completed on-time in the next year. One project remains on hold pending State funding decisions, and another is in the earliest phase of programming. Facilities improvements related to Covid-19 are also ongoing.

Analysis. In 2018-2019, the target was met. Based on the analysis of the 2018-2019 results, the following changes were implemented in 2019-2020 according to the plan of action from 2018-2019. The Capital Outlay Director ensured that all 2019-20 projects were again completed within the prescribed timelines. In 2019-20, there were 4 completed projects, with 3 ongoing projects scheduled to be completed on-time in the next year. One project remains on hold pending State funding decisions, and another is in the earliest phase of programming.

Decision. Based on the analysis of the results from 2019-20, in 2020-21 the Capital Outlay Director will maintain familiarity with state capital outlay, small capitalization, and construction processes and deadlines to ensure projects are completed on time.

Measure 2.2. The Capital Outlay Director, in coordination with appropriate University staff, ensures that all capital outlay and small capitalization projects are completed within the prescribed budgets stipulated in the bid process. The target is 100% completion within the prescribed budgetary limits.

Finding. 100% of Target met. As demonstrated in Table 2, 4/4 capital outlay / small capitalization projects completed in 2019-20 were completed within the budgets

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prescribed in the bid process. Three ongoing projects are scheduled to be completed within budget in the next year. One project remains on hold pending State funding decisions, and another is in the earliest phase of programming.

Analysis. In 2018-2019, the target was met. Based on the analysis of the 2018-2019 results, the following changes were implemented in 2019-2020 according to the plan of action from 2018-2019. The Capital Outlay Director ensured that all 2019-20 projects were again completed within the prescribed budgetary limits. In 2019-20, there were 4 completed projects, with 3 ongoing projects scheduled to be completed within budget in the next year. One project remains on hold pending State funding decisions, and another is in the earliest phase of programming.

Decision. Based on the analysis of the results from 2019-20, in 2020-21 the Capital Outlay Director will maintain familiarity with state capital outlay, small capitalization, and construction processes to ensure projects are completed within the prescribed budgetary limits.

Comprehensive Summary of Key evidence of improvement based on analysis of results.

SO	Measure	Key Evidence
1	1.1	Capital Outlay Director submitted a Five-Year Plan for Capital Outlay that met State capital outlay requirements and deadlines.
1	1.1	Capital Outlay Director utilized opportunity for State funding for building demolition and deferred maintenance for the following projects: overlay of Caspari Drive (North); demolition of Dodd Hall; renovation of AA Fredericks auditorium; renovation of Student Union bathrooms.
1	1.1	The 2020-21 State capital outlay budget (House Bill 2) included funding for one important project from the Five-Year Plan for Capital Outlay: the replacement of Kyser Hall. House Bill 2 (pending) includes expanded funding for this project (37.4 million dollars, an increase of 66% over the 2019 bill). The construction of a new academic building will be a momentous accomplishment for the University, its administration, and the Capital Outlay Office: it will be the first new academic building constructed on the Natchitoches campus in more than 37 years (1982).
1	1.2	Capital Outlay Director submitted a Five-Year Plan for Capital Outlay that addressed all five of the University's Strategic Focus Areas.
2	2.1	All capital outlay, deferred maintenance, and small capitalization projects completed during the 2019-20 assessment period were completed on-time. Ongoing projects are on-time, as well.
2	2.2	All capital outlay, deferred maintenance, and small capitalization projects completed during the 2019-20 assessment period were completed within budget. Ongoing projects are within budget, as well.

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Plan of Action moving forward.

SO	Measure	Plan
1	1.1	Capital Outlay Director will submit a Five-Year Plan for Capital Outlay that addresses any changes required by the University administration, State Legislature, Division of Administration, Board of Regents, and University of Louisiana System.
1	1.1	Capital Outlay Director will address opportunities to secure deferred maintenance and building demolition funding.
1	1.1	The Capital Outlay Director will work with University Administration and relevant State entities in support of the University's upcoming capital outlay project, the replacement of Kyser Hall.
1	1.2	Capital Outlay Director will work with University administration to ensure Five-Year Plan addresses all five of the University's strategic focus areas.
2	2.1	Capital Outlay Director will maintain familiarity with state capital outlay, small capitalization, and construction processes and deadlines to ensure projects are completed on time.
2	2.2	Capital Outlay Director will maintain familiarity with state capital outlay, small capitalization, and construction processes to ensure projects are completed within the prescribed budgetary limits.